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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,132	08/27/2001	Jessica Warring	Natus-EC

Daniel P. Maguire
423 E Street
Davis, CA 95616



CONFIRMATION NO. 5880

FORMALITIES LETTER



OC000000006874249

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/29/2002 SZEWDIE1 00000075 09941132

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 OP
02 FC:203 9.00 OP

*Filing Date Granted*COPY OF PAPERS
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$9.
 - \$9 for 24 total claims over 20.
- The oath or declaration is missing.

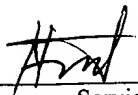
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 74.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DANIEL P. MAGUIRE
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Section #3

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December 4, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington D.C. 20231

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Re: *Application No. 09/941,132 (Utility Patent Application for "Ear Coupler")*

Dear Sir or Madam:

In response to the Notice to File Missing Parts dated 10/09/01, I am enclosing herewith:

- copy of "Notice to File Missing Parts of Nonprovisional Patent Application";
- revised drawings;
- check for \$74; and
- executed declarations of inventors Jessica Warring and Christian Walton.

Thank you, and please do not hesitate to contact me with any questions.

Sincerely,

Daniel P. Maguire
(Reg. No. 41,506)

Enclosures

cc: Client

Certification under 37 § C.F.R. § 1.8

I hereby certify that this correspondence and the documents referenced as enclosed herein are being deposited with the United States Postal Service with sufficient postage as first class mail in an enveloped addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on December 4, 2001.

Daniel P. Maguire